

§ 9.12

Cor 9.12

a) let  $A$  be a symmetrizable matrix and let  $\alpha \in \Delta_+(A)$  be such that  $(\alpha|\alpha) \neq 0$ . Then  $\bigoplus_{k \geq 1} \mathfrak{g}_{k\alpha}$  is a free lie algebra on a basis of the space  $\bigoplus_{k \geq 1} \mathfrak{g}_{k\alpha}^0$ , where  $\mathfrak{g}_{k\alpha}^0 = \{x \in \mathfrak{g}_{k\alpha} \mid (x|y) = 0 \text{ for all } y \text{ from the subalgebra generated by } \mathfrak{g}_{-\alpha}, \dots, \mathfrak{g}_{-(k-1)\alpha}\}$ .

proof: follows from prop 9.12 by setting  $L = \{k\alpha \mid k \in \mathbb{Z}^+\}$ .

prop 5.5:  $\alpha \in \Delta_+^{im}$ ,  $n\alpha \in \Delta_+^{im}$  if  $n \in \mathbb{Z}^+$   $\alpha \in \Delta_+$

$\mathfrak{g}_{k\alpha}^0 = \{x \in \mathfrak{g}_{k\alpha} \mid (x|y) = 0 \text{ for all } y \in [n_1^-, n_1^+]\}$ .

$(\mathfrak{g}_{\alpha} | \mathfrak{g}_{-\alpha}) \neq 0$ .

b) let  $A$  be a generalized Cartan matrix and let  $\alpha \in \Delta_+(A)$  be an isotropic root. Then  $\mathbb{C}U^{-1}(\alpha) \oplus \left(\bigoplus_{j \neq 0} \mathfrak{g}_{j\alpha}\right)$  is an infinite Heisenberg lie algebra.

proof: if  $\alpha$  is an imaginary root of an affine lie algebra, then b) amounts to prop 8.4.

Recall: prop 8.4: let  $\mathfrak{g}(A)$  be an affine algebra.

a) Set  $\mathfrak{t} = \mathbb{C}K + \sum_{s \in \mathbb{Z}} \mathfrak{g}_s$ , Then  $\mathfrak{t}$  is isomorphic to the infinite - dimen. Heisenberg alg. with center  $\mathbb{C}K$ .

Applying prop 5.7 and lem 3.8 prove b) in the general case.

Recall: prop 5.7: let  $A$  be symmetrizable, A root  $\alpha$  is isotropic (i.e.  $(\alpha|\alpha) = 0$ ) iff it is  $W$ -equivalent to an imaginary root  $\beta$  such that  $\text{supp } \beta$  is a subdiagram of affine type of  $\mathfrak{S}(A)$  (then  $\beta = k\delta$ )

lem 3.8.  $r_i^{ad} \in \text{Aut } \mathfrak{g}(A)$  &  $r_i^{ad}|_{\mathfrak{H}} = r_i$

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### § 9.13.

- Heisenberg Lie algebra of order  $\infty$ . That is a Lie algebra with a basis  $P_i, Q_i$  ( $i=1, 2, \dots$ ) and  $C$ , with the following commutation relations:

$[P_i, Q_i] = C$  ( $i=1, 2, \dots$ ) and all the other brackets are zero. This is a nilpotent Lie algebra with center  $C$ .

- It is well known that for every  $a \in C^*$ , the Lie algebra  $\mathfrak{h}$  has an irreducible representation  $\mathcal{U}_a$ , called canonical commutation relation representation, on the space  $R = \mathbb{C}[x_1, x_2, \dots]$  of polynomials in infinitely many indeterminates  $x_i$ , defined by:

$$\mathcal{U}_a(P_i) = a \frac{\partial}{\partial x_i}, \quad \mathcal{U}_a(Q_i) = x_i, \quad \mathcal{U}_a(C) = aI_R.$$

weight algebra.

Schrödinger representation.

We denote this  $\mathfrak{h}$ -module by  $R_a$ .

- Introduce the following commutative subalgebras of  $\mathfrak{h}$ .

$$\mathfrak{h}_+ = \sum_{j \geq 1} \mathbb{C} P_j, \quad \mathfrak{h}_- = \sum_{j \geq 1} \mathbb{C} Q_j.$$

- A vector  $v$  of our  $\mathfrak{h}$ -module is called a vacuum vector with eigenvalue  $\lambda \in \mathbb{C}$  if  $\mathfrak{h}_+(v) = 0$  and  $C(v) = \lambda v$ .

- Note that  $\mathfrak{h}$  can be viewed as the Lie algebra  $\mathfrak{g}^{(1,0)}/\mathfrak{c}_1$ , where  $\mathfrak{c}_1 = \sum \mathbb{C}(d_i - d_j) \subset \mathfrak{c}$  is a central ideal. So that  $\mathfrak{h}_+$  (resp.  $\mathfrak{h}_-$ ) is identified with  $\mathfrak{h}_+$  (resp.  $\mathfrak{h}_-$ ).

- Since  $(R, \mathcal{U}_a)$  is a free  $U(\mathfrak{h}_-)$ -module of rank 1. It is a Verma module over  $\mathfrak{h}$ , the vector  $1$  being the highest weight vector = vacuum vector.

Sjöstrand - von Neumann theorem:

the canonical commutation relations on two generators (canonical coordinate  $q$  and canonical momentum  $p$ ) in the form  $[q, p] = i\hbar$  may be represented as unbounded operators on the Hilbert space of square integrable functions  $L^2(\mathbb{R})$  on the real line by defining them on the dense subspace of smooth functions  $\psi: \mathbb{R} \rightarrow \mathbb{C}$  as

$$(q\psi)(x) := x\psi(x) \quad (p\psi)(x) := -i\hbar \frac{\partial}{\partial x} \psi(x).$$

where on the right we have the derivative along the canonical coordinate function on  $\mathbb{R}$ . Schrödinger rep.

Cor. 9.13 Let  $V$  be an irreducible  $\mathfrak{s}$ -module which has a nonzero vacuum vector with a nonzero eigenvalue  $\alpha$ . Then the  $\mathfrak{s}$ -module  $V$  is isomorphic to  $\mathbb{R}_\alpha$ .

Lemma 9.15.

a) Let  $V$  be an  $\mathfrak{s}$ -module such that  $c = \alpha v$ , where  $\alpha \neq 0$ , which has a vacuum vector  $v_0 \neq 0$ , such that  $V = U(\mathfrak{s})(v_0)$ .

Then the  $\mathfrak{s}$ -module  $V$  is isomorphic to  $\mathbb{R}_\alpha$ .

b) Let  $V$  be an  $\mathfrak{s}$ -module such that  $c$  is diagonalizable with nonzero eigenvalues and such that for every  $v \in V$  there exists  $N$  such that  $P_1 \cdots P_n(v) = 0$ , whenever  $n > N$ , then  $V$  is

isomorphic to a direct sum of  $\mathfrak{s}$ -modules of the form

$\mathbb{R}_\alpha$ ,  $\alpha \neq 0$ .

$$P_i \quad \boxed{P_j(v)} = 0.$$

$q^{(10)}/c_1$

proof: we can assume in b) that  $c = a\bar{v}$  with  $a \neq 0$ .

$V$  may be viewed as a  $\mathfrak{g}(0)$ -module for which  $\mathfrak{a}_i^v = a\bar{v}$  for all  $i$ . But then for every weight  $\lambda$  and for  $\beta \in \mathfrak{Q}$  we have  $\langle \lambda, v^+(\beta) \rangle = a\bar{v}\beta$ . ( $\beta = \sum_{i=1}^r k_i \alpha_i$ ).

since  $(\beta|\beta) = 0$  and  $\rho = 0$ . (Lie algebra  $\mathfrak{g}(0) \rightarrow n \times n$  zero matrix (including  $n = r$ ))

we have  $\sum \langle \lambda + \rho, v^+(\beta) \rangle = \sum a\bar{v}\beta \neq (\beta|\beta)$  for  $\beta \in \mathfrak{Q} \setminus \{0\}$  (\*)

by prop 9.10 a) and b).

The Lie algebra  $\mathfrak{s}$  is often extended by a derivation  $d_0$  defined by:  $[d_0, E_j] = m_j E_j$ ,  $[d_0, F_j] = -m_j F_j$ .

where  $m_j$  are some positive integers.

The Lie algebra  $\mathfrak{A} = (\mathfrak{s} + \mathbb{C}d_0) \oplus \mathfrak{a}_0$ , where  $\mathfrak{a}_0$  is a finite-dimensional central ideal, is called an oscillator algebra.

Given  $b \in \mathbb{C}$  and  $\lambda \in \mathfrak{a}_0^*$ , we can extend the  $\mathfrak{s}$ -module  $R_{a,b,\lambda}$  to the  $\mathfrak{A}$ -module  $R_{a,b,\lambda}$  as follows:

$$d_0 \mapsto b + \sum_j m_j x_j \frac{\partial}{\partial x_j}, \quad a \mapsto \langle \lambda, a \rangle \mathbb{1} \text{ for } a \in \mathfrak{a}_0.$$

Let  $\mathfrak{s}_0 = \mathbb{C} + \mathbb{C}d_0 + \mathfrak{a}_0$ , we have the triangular decomposition

$$\mathfrak{A} = \mathfrak{s}_- \oplus \mathfrak{s}_0 \oplus \mathfrak{s}_+.$$

prop 9.13. Let  $V$  be an  $\mathfrak{A}$ -module such that  $\mathfrak{s}_0$  is diagonalizable and  $c$  has only nonzero eigenvalues:

a) If there exists  $v_0 \in V$ ,  $v_0 \neq 0$ , such that

$$\mathfrak{s}_+(v_0) = 0, \quad U(\mathfrak{s}_-)v_0 = V.$$

Then  $V$  is isomorphic to an  $A$ -module  $R_{a,b,\lambda}$ .

b) If for every  $\psi \in V$ , there exists  $N$  such that

$P_1 \cdots P_n(\psi) = 0$  whenever  $n > N$ , then  $V$  is isomorphic to a direct sum of  $A$ -modules  $R_{a,b,\lambda}$ ,  $a \neq 0$ .

Note that the monomial  $x_1^{j_1} \cdots x_n^{j_n} \in R_{a,b,\lambda}$  is an eigenvector of  $d_0$  with eigenvalue  $\sum_k m_k j_k + b$ .

$$d_0(x_1^{j_1} \cdots x_n^{j_n}) = b x_1^{j_1} \cdots x_n^{j_n} + \sum_k m_k j_k x_1^{j_1} \cdots x_n^{j_n} = \left( \sum_k m_k j_k + b \right) (x_1^{j_1} \cdots x_n^{j_n}).$$

hence, for the  $A$ -module  $R = R_{a,b,\lambda}$  with  $a \neq 0$ , we have

$$\text{tr}_R q^{d_0} = q^b \prod_{j=1}^{\infty} (1 - q^{m_j})^{-1}$$

$$\begin{aligned} \Gamma \text{tr}_R q^{d_0} &= \sum q^\lambda = \sum q^{b + \sum_k m_k j_k} = q^b \sum q^{\sum_k m_k j_k} = q^b \prod_{m_k, j_k} q^{j_k m_k} \\ &= q^b \prod_{m_k} (q^0 + q^{m_k} + q^{2m_k} + \cdots) = q^b \prod_{m_k} (1 - q^{m_k})^{-1} \end{aligned}$$

本段の例. character.

here, as usual, for a diagonalizable operator  $A$  on a vector space  $V$  with eigenvalues  $\lambda_1, \lambda_2, \dots$  counting the mult.

one define:  $\text{tr}_V q^A = \sum_i q^{\lambda_i}$ .

$B_0(P_n x, y) = B_0(x, q_n y) \Rightarrow P_n$  is adjoint to  $q_n$ .

$$\text{by (19.4.2)} \quad B_0(q(x), y) = -B_0(x, w(q)(y))$$

$$\Rightarrow B_0(e_i x, y) = B_0(x, f_i y).$$

$$\& B_0(v_\lambda, v_\lambda) = \langle v_\lambda \rangle = 1$$

claim: distinct monomials are orthogonal w.r.t.  $B_0$

$$\text{and that: } B_0(x_1^{k_1} \cdots x_n^{k_n}, x_1^{l_1} \cdots x_n^{l_n}) = a^{\sum k_i} \prod_j k_j!$$

proof:  $B_0(a'v_\lambda, a'v_\lambda) = \langle \hat{w}(a) a'v_\lambda \rangle$  where expectation value

$\langle \psi \rangle \in \mathbb{C}$ . satisfies  $\psi = \langle \psi \rangle v_\lambda + \sum_{\alpha \in \Lambda \setminus \{0\}} v_{\lambda-\alpha}$ , where  $v_{\lambda-\alpha} \in V_{\lambda-\alpha}$ .

$$\text{then } B_0(x_i, x_i) = B_0(q_i \cdot 1, q_i \cdot 1) = \langle P_i q_i \cdot 1 \rangle = a.$$

$$B(x_1^{k_1}, x_1^{k_1}) = B(q_1^{k_1}, 1, q_1^{k_1}, 1) = a^{k_1} k_1!$$

$$B(x_1, x_2) = B(q_1, 1, q_2, 1) = \langle p, q_2, 1 \rangle = 0$$

As in §9.4,  $B$  can be written also in the following form:

$$B(P, Q) = (P(a \frac{\partial}{\partial x_1}, a \frac{\partial}{\partial x_2}, \dots) Q(x_1, x_2, \dots)) (0).$$

### §9.14.

Recall: The Lie algebra  $\mathfrak{g} := \bigoplus_{j \in \mathbb{Z}} \mathbb{C} d_j$  has a unique (up to isomorphism) nontrivial central extension by a 1-dimensional center, say  $\mathbb{C}c$ , called the Virasoro algebra  $\mathfrak{Vir}$ , which is defined by the following comm. relation:

$$[d_i, d_j] = (i-j) d_{i+j} + \frac{1}{12} (i^3 - j^3) \delta_{i+j, 0} c \quad (i, j \in \mathbb{Z}).$$

Define the triangular decomposition of  $\mathfrak{Vir}$  as follows:

$$\mathfrak{Vir} = \mathfrak{Vir}_- \oplus \mathfrak{Vir}_0 \oplus \mathfrak{Vir}_+,$$

$$\text{where } \mathfrak{Vir}_\pm = \bigoplus_{j > 0} \mathbb{C} d_{\pm j}, \quad \mathfrak{Vir}_0 = \mathbb{C}c \oplus \mathbb{C}d_0.$$

Given  $c, h \in \mathbb{C}$ , define a  $\mathfrak{Vir}$ -module  $\mathcal{U}$  with highest weight  $(c, h)$  by the requirement that there exists a nonzero vector  $\mathcal{V} = \mathcal{V}_{c, h}$ , s.t.

$$\mathfrak{Vir}_+(\mathcal{V}) = 0, \quad \underline{\mathcal{U}(\mathfrak{Vir}_-)\mathcal{V} = \mathcal{V}}, \quad d_0(\mathcal{V}) = h\mathcal{V}, \quad c\mathcal{V} = c\mathcal{V}.$$

It is clear that  $c$  acts on  $\mathcal{M}(c, h)$  as  $cI$ .

$$(c \mathcal{U}(\mathfrak{Vir}_-)\mathcal{V} = \mathcal{U}(\mathfrak{Vir}_-)(c\mathcal{V})).$$

The number  $c$  is called the conformal central charge.

As in §9.7 we easily show that the elements

$$(9.14.1) \quad d_{-j_n} \cdots d_{-j_2} d_{-j_1} (\mathcal{V}_{c, h}) \quad \text{where } 0 < j_1 \leq j_2 \leq \cdots$$

form a basis of  $\mathcal{M}(c, h)$ . Since  $[d_0, d_{-n}] = n d_{-n}$ ,

we see that  $d_0$  is diagonalisable on  $\mathcal{M}(c, h)$  with

spectrum  $h + \delta_+$  and with the eigenspace decomposition

$$M(c, h) = \bigoplus_{j \in \delta_+} M(c, h)_{h+j}$$

where  $M(c, h)_{h+j}$  is spanned by elements of the form (9.14.1) with  $j_1 + \dots + j_n = j$ .

It follows that  $\dim M(c, h)_{h+j} = P(j)$  where  $P(j)$  is the classical partition function.

- In number theory, the partition function  $P(n)$  represents the number of possible partitions of a nonnegative integer  $n$   
 $P(0) = 1, \quad P(n) = 0, \quad n < 0.$
- In representation theory, the Kostant partition function of a root system  $\Delta$  is the number of ways one can represent a vector or weight as a non-negative integer linear combination of the positive roots  $\Delta^+ \subset \Delta$
- Equation (9.14.3) can be rewritten as follows:  

$$\text{tr}_{M(c, h)} q^{d_0} := \sum_{\lambda} \dim M(c, h)_{h+\lambda} q^\lambda = q^h \prod_{j=1}^{\infty} (1 - q^j)^{-1}$$
- As in §9.7, the series  $\text{tr}_V q^{d_0}$  is called the formal character of  $\mathfrak{Vir}$ -module  $V$ .
- The Chevalley involution  $w$  of  $\mathfrak{Vir}$  is defined by:  
 $w(d_n) = -d_n, \quad w(c) = -c.$